

GLOBAL COMPLIANCE MANAGEMENT SOLUTION



GLOBALISED NEEDS • LOCALISED SOLUTION

360° view of Regulatory Compliance, Corporate Event along with Compliance Evidences across all global entities on real time basis from intuitive dashboards enabling Board to take pre-emptive measures to control Risks

THE PROBLEM STATEMENT

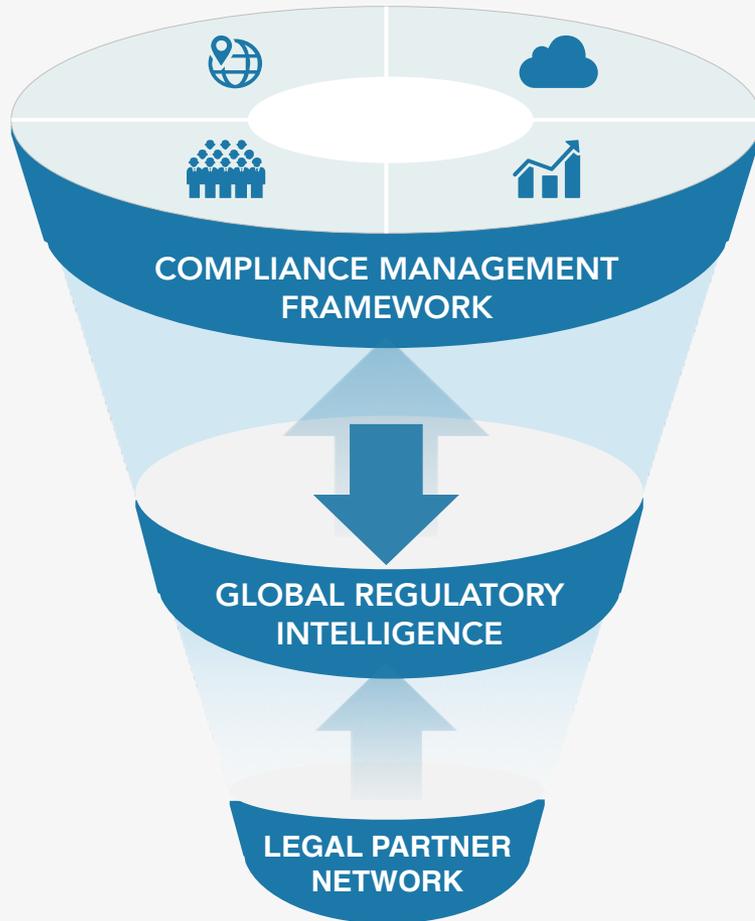
With growing uncertainty in global business environment and rapidly increasing scrutiny around compliance, businesses today are struggling to get their acts right on regulatory obligations. Risk Managers are putting 1000's of man-hours to collate and track global regulatory know how & changes without an experienced global team; hence increasing the costs and risks of non compliance.

Usually organisations are plagued with one or many of the following compliance challenges:

 <p>Legal Know how</p>	<ul style="list-style-type: none"> • Converting laws to compliance actionable • Comprehensiveness of coverage, language constraints, interpretation • Availability of expert legal advisor with localised know how..
 <p>Multiplicity of laws</p>	<p>Basis geographies, jurisdictions, regulators, locations, industry, nature of business, etc</p>
 <p>Increasing Legal Complexities</p>	<ul style="list-style-type: none"> • Frequent changes in laws • Introduction of new regulations • Availability of information
 <p>Data Consolidation & Management</p>	<ul style="list-style-type: none"> • Tracking applicability and reporting of compliance entity, business, location, department wise • Ensuring data is secured & audit-able
 <p>Co-ordination for compliance</p>	<ul style="list-style-type: none"> • Internal - Within teams, departments, geographies, group companies, • External - Clients, vendors, service providers, auditors, etc
 <p>Reporting Requirements</p>	<ul style="list-style-type: none"> • On average, business needs upto 40 compliance reports annually • AGM, Board Meetings, Audits, M&A, Loans, Due diligence, etc
 <p>Business impacts of Non Compliance</p>	<div style="display: flex; justify-content: space-around; align-items: center;"> <div style="text-align: center;">  <p>License Revocation</p> </div> <div style="text-align: center;">  <p>Heavy Penalties</p> </div> <div style="text-align: center;">  <p>Imprisonment of KMP</p> </div> <div style="text-align: center;">  <p>Reputation Losses</p> </div> <div style="text-align: center;">  <p>Employee Attrition</p> </div> </div>

LAWRBIT VALUE PROPOSITION

Integrating technology & Regulatory Intelligence, we have enabled clients to reap maximum benefits by adopting best practices, reduces errors, costs, improves efficiencies and ROI; clients can manage obligations across wide range of regulations through a comprehensive framework



- Separate applications for Legal experts to create, approve and update Regulatory Intelligence
- Research app integrates with GCMS through APIs, enables common version of Regulatory intelligence across clients globally
- Hosted on AWS; can be hosted on any of AWS' 60+ Regions globally in compliance with Data Localisation requirements

- Regulatory Intelligence is curated, created and updated periodically / real time through collaborative input of 1000s of legal experts available through our legal partners
- Centralised repository of compliance obligations, key terminologies, legal documents, updates can be commonly accessed by process owners, CXOs, auditors, etc

Our partnership with 100+ Tier 1 & Tier 2 legal and CPA firms across 70+ countries helps us:

- Regulatory Intelligence creation, translation & updation
- Understand client's business operations, identify applicable laws, compliance & address legal queries during implementation
- On-Call support for legal queries post Go-Live

GLOBAL COMPLIANCE NETWORK

Globalised Needs | Localised Solutions

NORTH AMERICA

- COSTA RICA
- GUATEMALA
- MEXICO
- U.S.A

EUROPE

- AUSTRIA
- BELGIUM
- CYPRUS
- DENMARK
- FINLAND
- FRANCE
- GERMANY
- HUNGARY
- ICELAND
- IRELAND
- ITALY
- LUXEMBOURG
- MALTA
- NETHERLANDS
- NORWAY
- POLAND
- PORTUGAL
- ROMANIA
- SLOVAKIA
- SPAIN
- SWITZERLAND
- UNITED KINGDOM

ASIA PACIFIC

- INDIA
- BRUNEI
- BANGLADESH
- CHINA
- CAMBODIA
- HONG KONG
- INDONESIA
- JAPAN
- MACAU
- MALAYSIA
- MYANMAR
- PHILLIPINES
- SOUTH KOREA
- SINGAPORE
- SRI LANKA
- TAIWAN
- THAILAND
- VIETNAM

SOUTH AMERICA

- ARGENTINA
- BRAZIL
- PERU

AFRICA

- ALGERIA
- BOTSWANA
- GHANA
- IVORY COAST
- KENYA
- LIBERIA
- MALAWI
- MOROCCO
- MOZAMBIQUE
- NAMIBIA
- NIGERIA
- SENEGAL
- SOUTH AFRICA
- SUDAN
- TANZANIA
- TUNISIA
- UGANDA
- ZAMBIA
- ZIMBABWE

MIDDLE EAST

- BAHRAIN
- EGYPT
- ISRAEL
- KUWAIT
- OMAN
- QATAR
- SAUDI ARABIA
- TURKEY
- UAE

OCEANIA

- AUSTRALIA
- NEW ZEALAND



70+ COUNTRIES COVERED



100+ LEGAL PARTNERS



3,500+ LAWS MAPPED



500+ LEGAL PROFESSIONALS

REGULATORY INTELLIGENCE

Across all category & type of laws applicable on business

SALIENT FEATURES

- Comprehensive coverage across federal, state, local & industry specific laws
- Simplified legal language for easy understanding
- Categorisation of compliance basis its nature and actions required
- Event Based advisory helps identify compliance requirements across laws
- Risk categorisation of compliance basis penal provisions defined in laws
- Continuous legal research and auto updation of compliance obligations with impact assessment



REGULATORY INTELLIGENCE

Across all category & type of laws applicable on business

INTELLIGENCE CREATION



COMPLIANCE MODULES

ONE TIME	RECURRING	ON-GOING	EVENT BASED
<i>Registrations, Licenses, Approvals, Certifications</i>	<i>Monthly, Quarterly, Bi-Annual, Annual Filings</i>	<i>Registers, Procedures, Displays, Provisions, etc</i>	<i>Launches, Intimations, Modifications, etc</i>

INBUILT / CUSTOM RISK MATRIX

EXTREME	HIGH	MEDIUM	LOW
<i>Imprisonment / Operational Impacts</i>	MONETARY PENALTY		

Create custom 10x10 RISK MATRIX; plot entity wise IMPACT & LIKELIHOOD for each compliance obligation

APPLICATION FLOW

Manage End to End Compliance Lifecycle



Create awareness of regulatory obligations

- Comprehensive coverage of all applicable laws
- Simplified language for easy understanding of compliance obligations
- Common checklists for consistent approach



Establish authority & responsibility matrix

- Enable accountability, checks and controls
- Bring all internal and external stakeholders on common platform
- Configurable forms, fields, and workflows



Single platform for compliance controls

- Report adherence with compliance
- Manage document with role based rights to upload & download
- Mails for event base alerts, notifications & escalations



Reduce Risks with proactive monitoring

- Real time configurable reports and dashboards
- Centralised visibility into non compliance, issues and inefficiencies
- Enables proactive control & better decision making



Inbuilt Legal Libraries

- Compliance checklists
- Legal updates
- Corporate Events



Access Controls

- Compliance organogram
- Role based access
- Reallocate tasks



3rd Party Compliance

- Access rights
- Collaborate & share data
- Reduces audit costs



Management Dashboard

- Heat Maps / Comparisons
- Analytical dashboards
- Report Customisation



Document Management

- Retain & archive
- Advance search
- Confidentiality tag



Automation & Configuration

- Alerts & notifications
- Reminders & escalations
- Reports & status updates



Ease of Use

- Auto populated calendars
- Simple user interface
- Training videos & manuals



Scalable & Secure

- Single global application
- Multiple entities & locations
- Hosted on AWS cloud

USER TYPES & ROLES

Description	Performer	Approver	Group Owner	CXO	Auditor
Legal Resources					
Act / Law / Rule - Key Notes	✓	✓	✓	✓	✓
Compliance Checklists - View	Assigned to self	Assigned to team	Assigned to team	Assigned to entity	Assigned to self
Compliance Checklists - Download	Option available in Premium Version				
Act Documents - Bare Act, Rules, Amendments, Filing Forms & Formats - View & Download	✓	✓	✓	✓	✓
Key Definitions - Search & View	✓	✓	✓	✓	✓
Legal Updates - Notifications, Clarifications, Press Release, Amendments, etc	✓	✓	✓	✓	✓
Newsletter - Legal Updates	✓	✓	✓	On-Demand	On-Demand
Compliance Reporting					
Update Compliance - Date, Status, Cost, Penalties, Remarks, etc	✓	✓	✓	-	-
Approve / Reject reported Compliance	-	✓	✓	-	-
Upload - Compliance Evidence	✓	✓	✓	-	-
Upload - Compliance Worksheets	✓	✓	✓	-	-
Tag uploaded document Confidential	-	✓	✓	-	-
Report On-going check cycle	✓	-	-	-	-
Trigger Corporate Events	✓	-	-	-	-
Reports					
Matrix Reports - Create your own reports	✓	✓	✓	✓	✓
Download Reports in Excel & PDF	✓	✓	✓	✓	✓
Print Compliance Reports	✓	✓	✓	✓	✓
Save reports formats for future reference	✓	✓	✓	✓	✓
Automate generated Reports - Get reports on mail at set frequency; access based data	✓	✓	✓	✓	-
Dashboards					
Heat Maps - Entity, Location & Function wise	-	✓	✓	✓	✓
Dashboards - Analytical & Responsive	✓	✓	✓	✓	✓
Download Dashboards	✓	✓	✓	✓	✓
Notifications, Reminders & Escalations					
Reminders & Escalations - Configure own matrix	✓	✓	✓	-	-
Notifications - Status Update, Legal Update, Change of Compliance Due date, New Compliance addition	✓	✓	✓	-	-

IMPLEMENTATION PROCESS

Business Discovery & Law Compilation

Identify project team
Business discovery using questionnaire
Publish list of applicable laws on business
Workshop with functional users to understand:

- Business operations
- Product / Services
- 3rd party contracts
- Other aspects defining compliance applicability

Compliance Checklist Finalisation

Prepare client specific acts/rules, compliance checklists
Identification of users:

- Performer / Approver / Group Owner
- CXO Users

Map compliance to

- Functions
- Locations
- Internal & External Users

Application Configuration

Instance Setup on AWS
Configure Application:

- User Level Controls
- Responsibility & Authority Matrix
- Auto reports
- Reminders
- Escalations
- Notifications
- Management User
- Compliance Auditor

Activate End Users

User Trainings & Application Go-Live

- Classroom pilot & configuration testing
- Training of admin & Users (Onsite/Remote)
- Application Go-Live
- Post Go-Live Support
- Handing over admin control to client
- Handing over to On-going support team

LIFELONG SUSTENANCE SUPPORT



Application Updates & Upgrades



Legal Updates & Consulting



Compliance Audits & Reviews



Legal cum Technical R&D



Trainings & Hand Holdings



Technical & Legal Support



KEY DIFFERENTIATORS

What makes **Lawrbit** stand out against other alternate options available in market

GLOBAL COVERAGE

- Supports 80+ Countries
- No Regulatory Intelligence provider offers such extensive coverage

SCALABLE SOLUTION

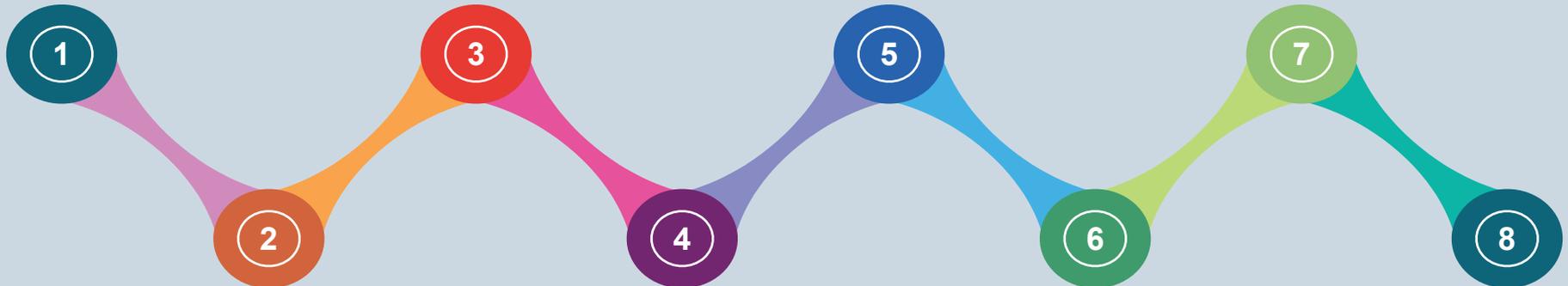
- Manage multiple geographies, entities, from single dashboard.
- Add geos, entities as needed

COMPLIANCE ECOSYSTEM

- Vendors - 3rd party compliance
- Compliance Service Providers
- Auditors - Internal / External

OTHER DIFFERENTIATORS

- Analyse Impact on Directors
- Work simultaneously on multiple entities & geographies



1 LOCALLY VETTED CHECKLISTS

- Tie ups with 100+ law firm partners for validation & updation of regulatory intelligence data
- Cover all areas of laws - Central / Federal & State

3 4 COMPLIANCE MODULES FOR COMPREHENSIVE COVERAGE

- One Time & Recurring
- Ongoing Compliance Surveys
- Event Based Compliance Advisory

5 LEGAL UPDATES

- Auto updates in the system
- Pre Committed SLAs
- Users get E-mail intimation & copy of notifications on updates

7 GROUND SUPPORT

- Law firm partner services can be availed anytime
- Cost Effective Rates, global coverage through single contract

ABOUT US

We offer RegTech & Lextech solutions for Enterprises, Audit, Compliance & Legal Professionals globally.

- Our decade of experience in creating Global Regulatory Intelligence and understanding real-life challenges of managing them have helped us create innovative, best in class solutions that are scalable & easy to implement.
- Our solutions integrate Regulatory Intelligence across laws for 70+ countries with technology, making it easy for users to understand compliance obligations and be informed of the Regulatory changes.
- Our Legal Network comprises 1000's of legal experts from 100's of Legal, CPA & Consulting Firms across 70+ countries having domain and territory expertise across areas of law.

OUR SOLUTION PORTFOLIO

ENTERPRISE SOLUTIONS

- Global Compliance Management Solution
- Legal Matter Management Solution
- Enterprise Risk Management Solution

PROFESSIONAL SOLUTIONS

- Compliance Audit Management Solution
- Compliance Service Management Solution
- Litigation Service Management Solution

OUR LOCATIONS



DELHI / NCR

CORPORATE OFFICE

865-A, Tower - B1, Spaze I-Tech Park I
Sector 49, Gurgaon - 122002



JAIPUR

KNOWLEDGE CENTRE:

32, Gopal Tower, Dadu Marg,
GopalBari, Jaipur - 302001



MUMBAI

REGIONAL OFFICE

WeWork, JVLR, Opp. L&T Business
Park, Andheri, Mumbai



AHMEDABAD

BRANCH OFFICE

A2/512, Palladium Complex,
Prahladnagar, Ahmedabad - 380015

PARTNER LOCATIONS: BENGALURU, CHENNAI, HYDERABAD, PUNE, TANZANIA

GET IN TOUCH WITH US

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