



LAWRBIT GCMS - INDIA

India's Most Intelligent Regulatory Compliance Management Solution



**2,000+ ACTS,
RULES & REGULATIONS**



**50,000+ COMPLIANCE
OBLIGATIONS**



**500+ SECRETARIAL &
OTHER EVENTS**



**30,000+ LEGAL
DOCUMENTS MAPPED**



**100+ REGULATORY
AUTHORITIES COVERED**

THE NEED

The Companies Act, 2013, SEBI Listing Regulations and now The Code of Ethics by ICAI; all have re-emphasised the significance of adopting industry's best Governance practices by implementing a robust Statutory Compliance Management framework.

The responsibility on Key Managerial Personnel (KMPs), Board of Directors, CFO, Chartered Accountants, Auditors, Company Secretary to devise a proper system for ensuring compliance with the provisions of all applicable laws is clearly established.

It's a daunting task for any compliance officer to give assurance to the board as no. of laws and compliance applicable could be anywhere between 50 to 1,000+ and 2,000 to 50,000+ respectively; basis no. of entities, locations as part of the group.



**Multiple legislations
and regulators**



**Increasing Risks
& Legal complexities**



**Common understanding
of Legal Obligation**



**Data Consolidation
& Management**



**Segregation of
Roles & Responsibilities**



**Standardisation of
reports & processes**

THE SOLUTION



CLOUD BASED TECHNOLOGY



REGULATORY INTELLIGENCE

Lawrbit GCMS seamlessly integrates Technology with Laws and has been created with decades of experience of its founding team in building technology solutions, consulting MNCs, industry leaders, SMEs & start-ups in strengthening their Compliance Framework.

Lawrbit GCMS - India is an Industry leading legal compliance management tool; trusted by 1,000s of users across industries and geographies. Our clients have experienced a positive shift of attitude towards compliance, improved adherence & employee satisfaction and reduction in overall cost and time in managing compliance.

SOLUTION ADVANTAGES

COMPREHENSIVE COVERAGE

60+ Parameters · Legal Docs
Key Terminologies Defined

UNIVERSAL COMPLIANCE OBLIGATION LIBRARY

Process Driven · 4 Quality checkpoints · 13 categories

REAL TIME LEGAL UPDATES

2,000+ Websites Searched
Notifications · Legal Description



5 LINES OF DEFENCE

Owner · Approver · HOD
CXO · Auditor

REMINDER, NOTIFICATION, ESCALATION, SCHEDULER

Personalised Mailers · User to define frequencies

REPORTS & DASHBOARDS

Heat Map · Geo Map · Report Builder · Pivot · Drill Down

LEGAL COVERAGE

We provide comprehensive coverage across all laws applicable on the business



COMPLIANCE CATEGORIES

Laws suggests varied type of obligations; we intelligently bifurcate them to ensure you don't miss any



60+ Parameters covering various compliance aspects:

- **Compliance Description** - Section, Para & Rule, Frequency, Filing Requirements, Filing Type, Form Copy, PenaltyType, Estimated Penalty Amount, Risk Categorisation, Events, Compliance Type, Category, Additional Information & More..
- **Key Terminologies** as defined in act & rules with Section reference
- **Act Documents** - Copy of Bare Act, Rules, Forms & Formats, etc
- **Real Time Regulatory Updates** - Notifications, Amendments, Circulars, Clarifications, Press Releases, Schedules, Ordinance, Guidelines, etc

COMPLIANCE MODULES

Basis type of action required, compliance across categories are further bifurcated in 4 modules



ONE TIME

Licenses, Approvals, Registrations, etc

- Licenses, Approvals, Registrations & Certifications required during commencement of business, office, service, product line, etc
- Appears in loop basis date and duration of approval granted



RECURRING

Monthly, Quarterly, Bi-Annual, Annual Filings

- Biggest worry of any CXO are filings under various laws; not having a consolidated, vetted, calendar covering all obligations adds to concern
- Designed to be auto triggered on due date in owner's calendar



EVENT BASED

Secretarial & Non Secretarial Corporate Actions

- Intelligently identifies obligations from all applicable laws across locations for 500+ Corporate Events; auto assign to relevant user
- Descriptive notes Events, procedures on 130+ Companies Act Events



ONGOING

Registers, Procedures, Displays, Provisions, etc

- Usually hidden in finer prints on laws and are understood either by SME's; to be followed throughout the business operations
- Self assessment surveys triggered on pre-set frequency

TECHNOLOGY FEATURES

Build on most advanced platform & hosted on AWS, our solution is feature rich, intuitive and easy to use



Workflow Management

- 5 layers of defence - Performer, Approver, Group Owner, CXO & Auditor
- Compliance Organogram inline with reporting structures, cross functional collaborations
- Role based access at each level
- Approve, Reject, Reallocate compliance tasks
- Integrate 3rd parties (Vendors & Service providers) for compliance reporting
- Track expenses, interest, penalty, service fee
- For event base compliance, restrict trigger rights, define if approver required & approver



Auto Populated Calendars

- Gets Auto populated for entire fiscal in January; enables effort planning & budgeting
- Real time auto updation basis legal changes
- Colour coding on lines of heat map for each date basis compliance status and criticality
- Compliance Surveys are auto triggered on last date of the period; configure periodicity basis criticality and maturity of the function
- Define Pre & Post compliance dependencies along with target dates for different user
- Intuitive calendar with list view options



Document Management

- Define type of documents and maximum size of documents allowed to be uploaded
- Configure Compliance proof policy for performer & approver for 14 categories of compliance
- Advance search for download basis multiple parameters; download multiple documents
- User can upload multiple documents, tag them as Evidence, Supporting doc & Working Sheet
- Add Confidentiality tag for each document
- Temper proofing of document - Once uploaded, deletion, modification not allowed



Intuitive Reports & Dashboards

- Interactive dashboards with drill down, trend charts, location & function wise heat maps
- Global Heat Map with drill down to country and state levels
- 5x5 Risk chart basis custom risk categorisation
- "Matrix Report", an innovative report builder.
 - Users can create & save multiple report templates with 10 filters and ~40 columns
 - Download report in Excel, CSV, Pdf
 - Schedule report for auto mailing on defined date & time every week / month



Value Added Innovative Features

- Integrated Ticket Centre: Users can raise support tickets, interact, get resolutions
- Manuals to help user learn the system
- User can customise Notification, Reminder settings; define frequency & periodicity
- Internal chat Application: Users can chat with other active users, leave offline messages
- Audit Trails for changes in compliance status
- User rights, settings by Admin
- Contact Directory with user details
- Regulatory Update mailer frequency

KEY FUNCTIONALITIES & ADD ON MODULES

Compliance Certificate
(HODs >> CCO >> BODs)

Companies Act Procedures
(Updated with recent changes)

Legal Articles & Research Papers
(New laws, legal challenges)

INTERNAL COMPLIANCE MODULE *(Highly flexible & agile)*

Customise Forms & templates - Add new columns, drop downs Create, Edit, Duplicate & allocate compliance

Create multiple Use Cases & Custom Templates to manage-

Litigations ▪ Notices ▪ agreements ▪ internal tasks ▪ Loan covenants ▪ Controls ▪ Documents ▪ Others

SYSTEM & DATA SECURITY

Regulatory Compliance Solutions are as relevant as their data basis legal updates and sanctity of data. With SaaS offering, we overcame challenge of infrequent & non standard updates on previous generation solution



DR, Back Up & Network Controls

- Daily / Monthly data backup; accessed by IT
- Backups on AWS cloud with secured access
- Backup jobs are tested on 6 monthly intervals
- Data Encryption using AES 256
- A secondary DB is created on AWS - RDS on real time basis (0.02 seconds)
- Disaster Recovery enabled
- Corporate Wi-Fi & VPN for access controls
- Firewall configuration and
- Network logs are maintained & assessed
- Identity Management controlled



Architecture, Design & Process Controls

- 2 Factor Authentication to protect identity
- Role Based Access Controls
- Software Development level Security protocols
- Strong Password Policy with age limit
- Risk/Vulnerability Assessment and Remediation
 - Automated & Manual Software Testing
 - Periodic Internal and 3rd party audits
 - Change Management
 - Process in place mitigate Security Incidents
 - Security Training for Employee



Application Hosting & Infrastructure

- Hosted on AWS, Mumbai in accordance with Data Localisation requirements
- DC certified with ISO27001, ISO27017, ISO27018, SOC1/ SOC2, PCI-DSS
- Using various Products and Services by AWS to ensure data security & application performance
 - CloudTrail
 - Elastic Compute Cloud
 - Simple Storage Service
 - Relational Database Service
 - Identity & Access Mgt (IAM)
 - Key Mgt Services (KMS)
 - CodeCommit
 - CloudFront
 - Multi-Factor Authentication

LIFETIME SUPPORT

Offered as SaaS; GCMS comes with our commitment to keep it updated, without client involvement



Technology Upgrades

Underlying technology & app are upgraded frequently to keep with changing needs & evolving tech landscape



R&D

A substantial portion of revenue is earmarked for R&D, build new functionalities to ease end user work



Domain & Tech Support

Team of Lawyers, Chartered Accountant, Company Secretaries & Engineers can be available on call

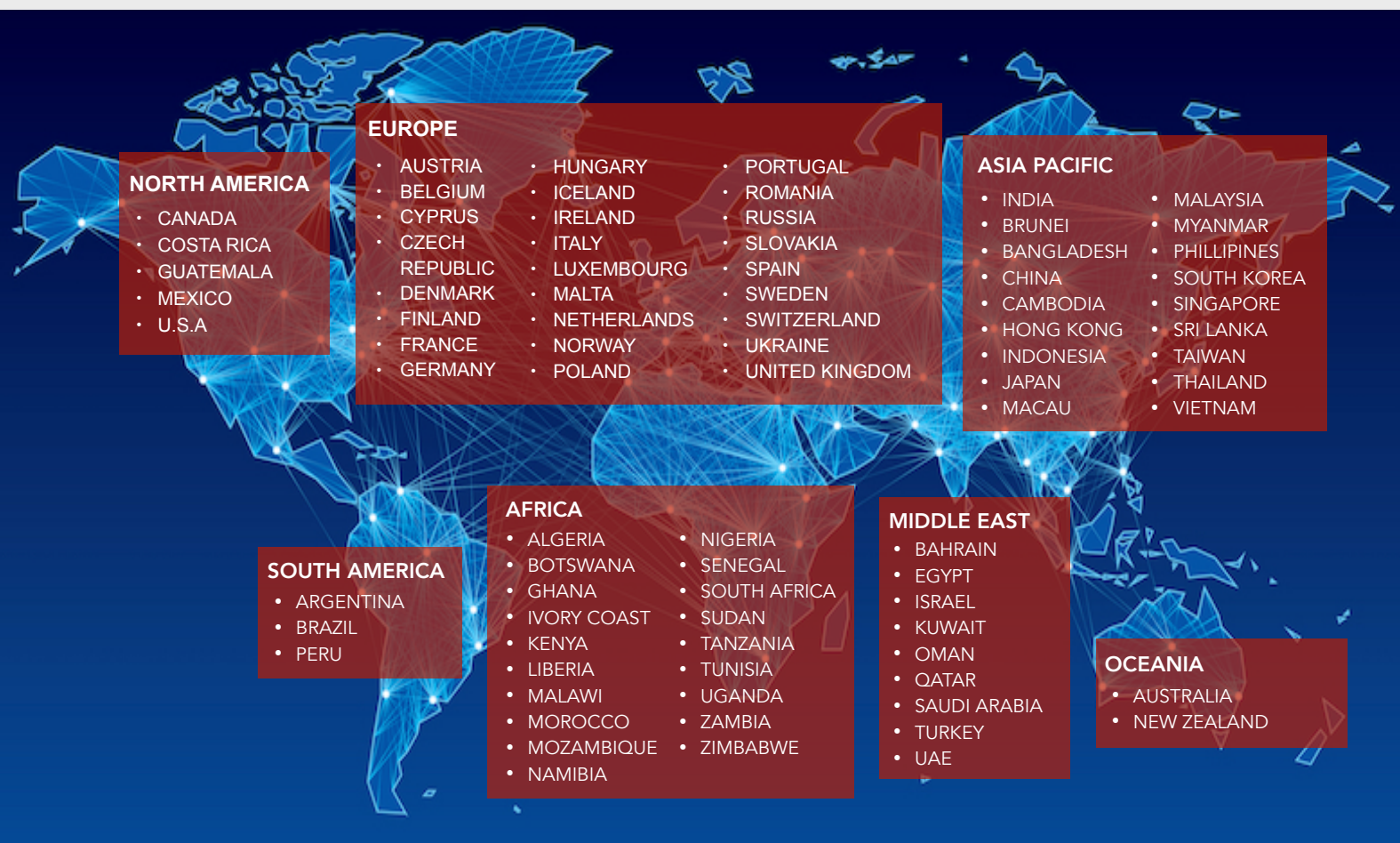


Support Services

“Compliance Health Checks, Business Impact Analysis, Configuration & Operation Support” - *On Demand*

OUR GLOBAL NETWORK

Lawrbit GCMS offers an Integrated solution covering Laws and regulations of 70+ Countries. We leverage one of the largest community of Legal Experts consisting of lawyers, CPAs, from Laws firms globally



70+ COUNTRIES



100+ LEGAL PARTNERS



5,000+ REGULATIONS

“ Our Regulatory Intelligence is agnostic of industry and geographies; enabling us to respond to any client requirement irrespective of its size and scale in a time bound manner ”

ABOUT US

Regulatory Risks are amongst **"Top 3 Business Risks"** globally as there are multiple laws applicable which are changing frequently, difficult to understand and Compliance to these is everyone's responsibility.

We offer RegTech & Lextech solutions for Enterprises, Audit & Compliance Professionals globally.

- Our decade of experience in creating Global Regulatory Intelligence, understanding real-life challenges have helped us create innovative, best in class solutions that are scalable & easy to implement
- Our solutions integrate Regulatory Intelligence across laws for 70+ countries with technology, making it easy for users to understand compliance obligations and be informed of the Regulatory changes.
- Our Legal Network comprises 100's of Legal experts from 100's of Legal, CPA & Consulting Firms across 70+ countries having domain and territory expertise across areas of law.

OUR SOLUTION PORTFOLIO

ENTERPRISE SOLUTIONS

- *Global Compliance Management*
- *Legal Matter Management*
- *Enterprise Risk & Controls Management*

PROFESSIONAL SOLUTIONS

- *Compliance Audit Management Solution*
- *Compliance Service Management Solution*
- *Litigation Management Solution*

OUR LOCATIONS



DELHI / NCR (CORPORATE OFFICE)

865-A, Tower - B1, Spaze I-Tech Park | Sector 49, Gurgaon - 122002



JAIPUR (KNOWLEDGE CENTRE)

32, Gopal Tower, Dadu Marg, GopalBari, Jaipur, Rajasthan - 302001



MUMBAI

WeWork Chromium, JVLR, Opp. L&T Business Park, Andheri, Mumbai



AHMEDABAD

A2/512, Palladium Complex, Corporate Rd, Prahladnagar, Ahmedabad - 380015

PARTNER LOCATIONS

INDIA: Bengaluru | Chennai | Hyderabad | Pune

GLOBAL: USA | Singapore | Tanzania

GET IN TOUCH WITH US



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