

GLOBAL COMPLIANCE MANAGEMENT SOLUTION (GCMS - INDIA)



500+
Corporate
Events



60,000+
Compliance
Obligations



2,000+
Laws &
Regulations



75,000+
Regulatory
Documents



85,000+
Legal
Definitions

The need for managing Regulatory Risks

The Companies Act, 2013, SEBI Listing Regulations and now The Code of Ethics by ICAI; all have re-emphasised the significance of adopting industry's best Governance practices by implementing a robust Statutory Compliance Management framework.

The responsibility on Key Managerial Personnel (KMPs), Board of Directors, CFO, Chartered Accountants, Auditors, Company Secretary to devise a proper system for ensuring compliance with the provisions of all applicable laws is clearly established.

It's a daunting task for any compliance officer to give assurance to the board as no. of laws and compliance applicable could be anywhere between 50 to 1,000+ and 2,000 to 50,000+ respectively; basis no. of entities, locations as part of the group.



**Multiple legislations
and regulators**



**Increasing Risks
& Legal complexities**



**Common understanding
of Legal Obligation**



**Data Consolidation
& Management**



**Segregation of
Roles & Responsibilities**



**Standardisation of
reports & processes**

How Lawrbit helps navigate complex compliance workflows?



**SECURED
CLOUD
TECHNOLOGY**



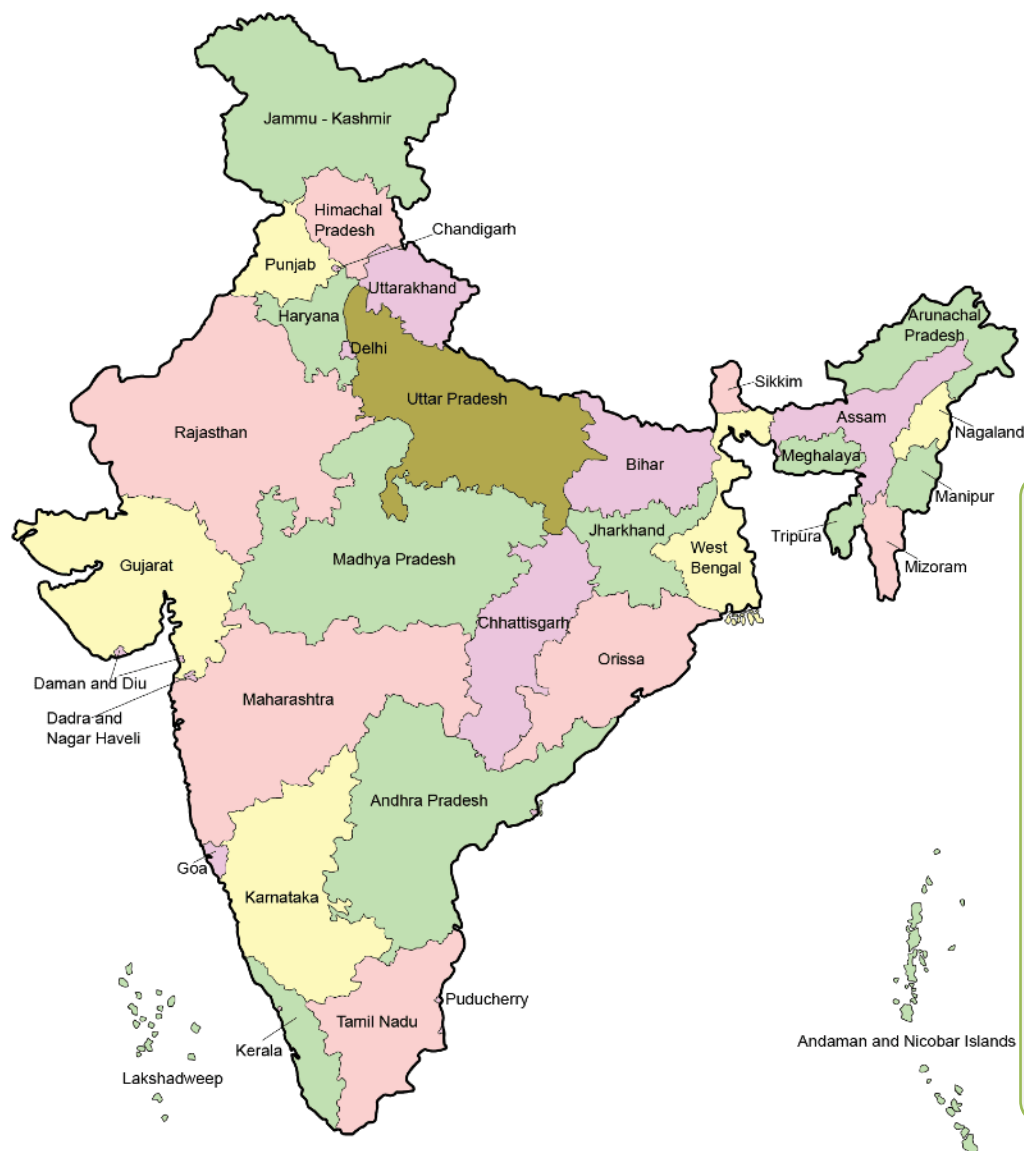
**GLOBAL
REGULATORY
INTELLIGENCE**

Trusted by **300+ Businesses**, **10,000+ Users** across **35+ Industries** globally, GCMS is preferred choice of CFOs, Compliance Officers, General Counsels to improve Compliance Culture within their organisation / group

- GCMS integrates flexible & customised workflows with Regulatory Intelligence from 70+ Countries
- Created with decades of experience of its founding team in building technology solutions, consulting MNCs, industry leaders, start-ups in strengthening their Compliance Framework.
- Users have experienced an improved adherence, user satisfaction & reduction in overall cost and time in managing compliance.

REGULATORY COVERAGE - INDIA

We provide comprehensive coverage across all laws applicable on the business



2,000+ Laws / Rules
60,000+ Compliance
85,000+ Legal Definitions
75,000+ Regulatory Documents
10,00,000+ Regulatory Pages

Central Laws - 300+
Union Govt & Regulators

State Laws - 1500+
States & Union Territories

Local Laws - 250+
Municipal/ Gram Panchayat

COMPREHENSIVE COVERAGE

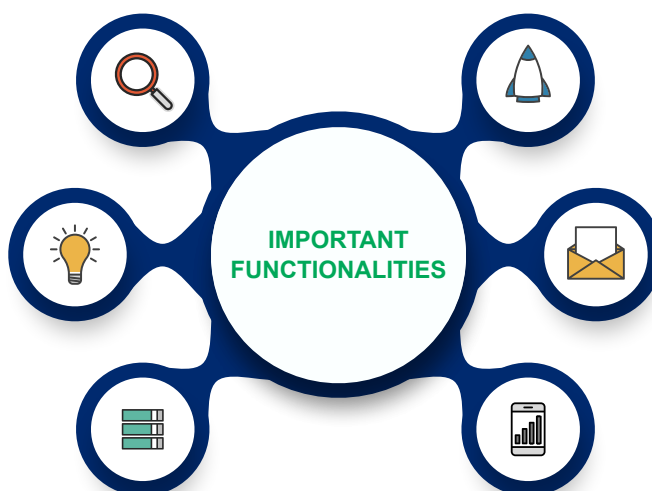
60+ Parameters · Legal Docs
Key Terminologies Defined

UNIVERSAL COMPLIANCE OBLIGATION LIBRARY

Process Driven · 4 Quality
checkpoints · 13 categories

REAL TIME LEGAL UPDATES

2,000+ Websites Searched
Notifications · Legal Description



5 LINES OF DEFENCE

Owner · Approver · HOD
CXO · Auditor

REMINDER, NOTIFICATION, ESCALATION, SCHEDULER

Personalised Mailers · User to
define frequencies

REPORTS & DASHBOARDS

Heat Map · Geo Map · Report
Builder · Pivot · Drill Down

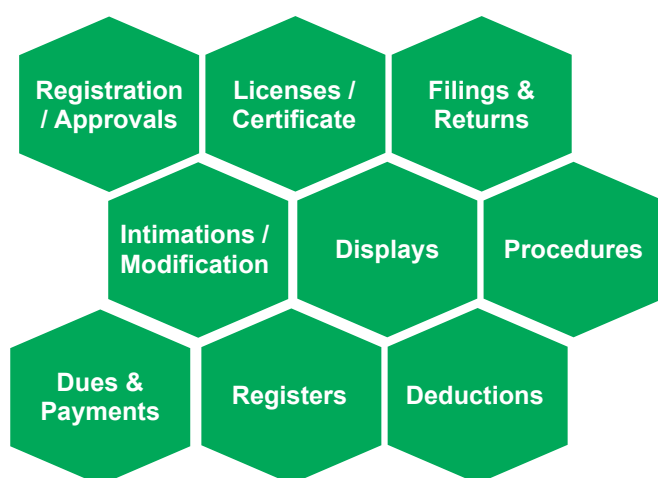
LEGAL COVERAGE

GCMS provide comprehensive coverage across all type of laws applicable on the business



COMPLIANCE CATEGORISATION

Laws suggests varied type of obligations; we intelligently bifurcate them to ensure you don't miss any



60+ Parameters covering various compliance aspects:

- **Compliance Description** - Section, Para & Rule, Frequency, Filing Requirements, Filing Type, Form Copy, PenaltyType, Estimated Penalty Amount, Risk Categorisation, Events, Compliance Type, Category, Additional Information & More..
- **Key Terminologies** as defined in act & rules with Section reference
- **Act Documents** - Copy of Bare Act, Rules, Forms & Formats, etc
- **Real Time Regulatory Updates** - Notifications, Amendments, Circulars, Clarifications, Press Releases, Schedules, Ordinance, Guidelines, etc

COMPLIANCE MODULES

Basis type of action required, compliance across categories are further bifurcated in 4 modules

<div data-bbox="111 1422 183 1489"></div> <div data-bbox="199 1422 359 1456">ONE TIME</div> <div data-bbox="199 1467 614 1496">Licenses, Approvals, Registrations, etc</div> <ul style="list-style-type: none"> • Licenses, Approvals, Registrations & Certifications required during commencement of business, office, service, product line, etc • Appears in loop basis date and duration of approval granted 	<div data-bbox="821 1422 893 1489"></div> <div data-bbox="917 1422 1109 1456">RECURRING</div> <div data-bbox="917 1467 1396 1496">Monthly, Quarterly, Bi-Annual, Annual Filings</div> <ul style="list-style-type: none"> • Biggest worry of any CXO are filings under various laws; not having a consolidated, vetted, calendar covering all obligations adds to concern • Designed to be auto triggered on due date in owner's calendar
<div data-bbox="111 1758 183 1825"></div> <div data-bbox="199 1758 430 1792">EVENT BASED</div> <div data-bbox="199 1803 710 1832">Secretarial & Non Secretarial Corporate Actions</div> <ul style="list-style-type: none"> • Intelligently identifies obligations from all applicable laws across locations for 500+ Corporate Events; auto assign to relevant user • Descriptive notes on Events • 130+ Companies Act Procedures • 500+ templates of Board / Special resolutions 	<div data-bbox="821 1758 893 1825"></div> <div data-bbox="917 1758 1069 1792">ONGOING</div> <div data-bbox="917 1803 1428 1832">Registers, Procedures, Displays, Provisions, etc</div> <ul style="list-style-type: none"> • Usually hidden in finer prints on laws and are understood either by SME's; to be followed throughout the business operations • Self assessment surveys triggered on pre-set frequency (could be different across functions) • Auto fill remarks basis last submitted Compliance

TECHNOLOGY FEATURES

Build on most advanced platform & hosted on AWS, our solution is feature rich, intuitive and easy to use



Workflow Management

- 5 layers of defence - Performer, Approver, Group Owner, CXO & Auditor
- Compliance Organogram inline with reporting structures, cross functional collaborations
- Role based access at each level
- Approve, Reject, Reallocate compliance tasks
- Integrate 3rd parties (Vendors & Service providers) for compliance reporting
- Track expenses, interest, penalty, service fee
- For event base compliance, restrict trigger rights, define if approver required & approver



Auto Populated Calendars

- Gets Auto populated for entire fiscal in January; enables effort planning & budgeting
- Real time auto updation basis legal changes
- Colour coding on lines of heat map for each date basis compliance status and criticality
- Compliance Surveys are auto triggered on last date of the period; configure periodicity basis criticality and maturity of the function
- Define Pre & Post compliance dependencies along with target dates for different user
- Intuitive calendar with list view options



Document Management

- Define type of documents and maximum size of documents allowed to be uploaded
- Configure Compliance proof policy for performer & approver for 14 categories of compliance
- Advance search for download basis multiple parameters; download multiple documents
- User can upload multiple documents, tag them as Evidence, Supporting doc & Working Sheet
- Add Confidentiality tag for each document
- Temper proofing of document - Once uploaded, deletion, modification not allowed



Intuitive Reports & Dashboards

- Interactive dashboards with drill down, trend charts, location & function wise heat maps
- Global Heat Map with drill down to country and state levels
- 5x5 Risk chart basis custom risk categorisation
- "Matrix Report", an innovative report builder.
 - Users can create & save multiple report templates with 10 filters and ~40 columns
 - Download report in Excel, CSV, Pdf
 - Schedule report for auto mailing on defined date & time every week / month



Value Added Innovative Features

- Integrated Ticket Centre: Users can raise support tickets, interact, get resolutions
- Manuals to help user learn the system
- User can customise Notification, Reminder settings; define frequency & periodicity
- Internal chat Application: Users can chat with other active users, leave offline messages
- Audit Trails for changes in compliance status
- User rights, settings by Admin
- Contact Directory with user details
- Regulatory Update mailer frequency

OTHER KEY FEATURES & ADD ON MODULES

Compliance Certificate
(HODs >> CCO >> BODs)

Companies Act Procedures
(Updated with recent changes)

Legal Articles & Research Papers
(New laws, legal challenges)

INTERNAL COMPLIANCE MODULE (Highly flexible & agile)

Customise Forms & templates - Add new columns, drop downs Create, Edit, Duplicate & allocate compliance

Create multiple Use Cases & Custom Templates to manage-

Litigations • Notices • agreements • internal tasks • Loan covenants • Controls • Documents • Others

LIFETIME SUPPORT

Offered as SaaS; GCMS comes with our commitment to keep it updated, without client involvement



Technology Upgrades

Underlying technology & app are upgraded frequently to keep with changing needs & evolving tech landscape



R&D

A substantial portion of revenue is earmarked for R&D, build new functionalities to ease end user work



Domain & Tech Support

Team of Lawyers, Chartered Accountant, Company Secretaries & Engineers can be available on call

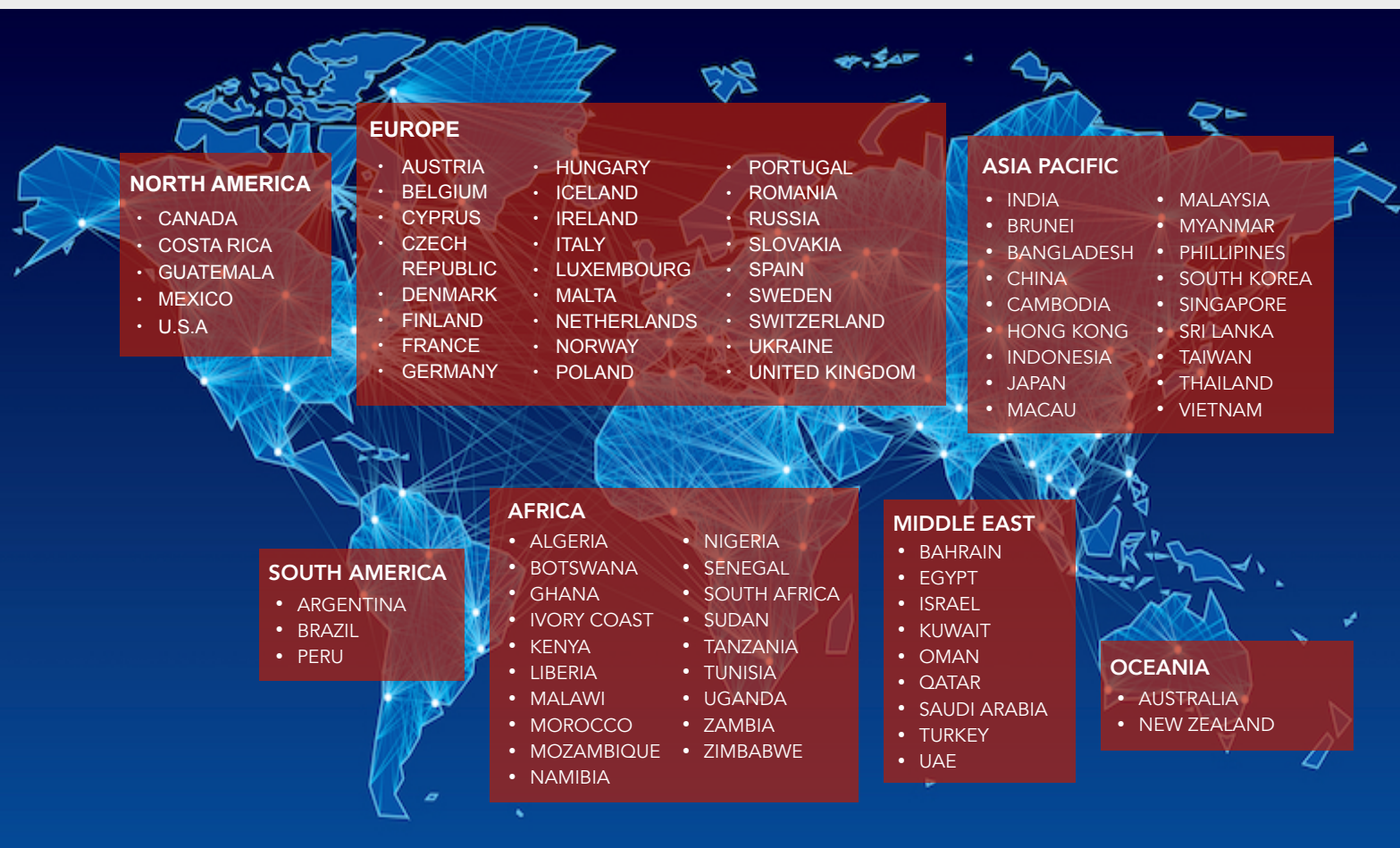


Support Services

"Compliance Health Checks, Business Impact Analysis, Configuration & Operation Support" - *On Demand*

OUR GLOBAL NETWORK

We leveraged expertise of lawyers, legal experts from Tier-1 & 2 Law Firms, CPAs, consulting firms globally to create a Global Regulatory Intelligence, to offer you a global risk framework through single application



1,000+ AUTHORITIES



70+ COUNTRIES



5,000+ REGULATIONS

“ Our Regulatory Intelligence is agnostic of industry and geographies; enabling us to respond to any client requirement irrespective of its size and scale in a time bound manner ”

ABOUT US

Regulatory Risks are amongst **"Top 5 Business Risks"** globally as there are multiple laws applicable which are changing frequently, difficult to understand and Compliance to these is everyone's responsibility.

- Our decade of experience in creating Global Regulatory Intelligence, understanding real-life challenges have helped us create innovative, best in class solutions that are scalable & easy to implement
- Our GRC platform with integrated Global Regulatory Intelligence & Real Time Regulatory updates:
 - *simplifies understanding of Regulatory obligations across laws and Managing Risks*
 - *are highly scalable and are designed to meet requirements of a startup to a Multinational with presence across geographies via single application*



OUR PORTFOLIO

ENTERPRISE SOLUTIONS

- *Global Compliance Management*
- *Legal Matter Management*
- *Enterprise Risk Management*

PROFESSIONAL SOLUTIONS

- *Compliance Audit Management*
- *Compliance Service Management*
- *Legal Case Management*

SERVICE OFFERINGS

- *Implementation & Advisory*
- *Operational Support*
- *Business Impact Assessment*

OUR LOCATIONS



DELHI / NCR
(Corporate Office)



JAIPUR
(Knowledge Centre)



MUMBAI
(Branch Office)

PARTNER LOCATIONS

Bengaluru | Chennai | Hyderabad | Pune | USA | Tanzania

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