

MTF DAILY REPORTING FILE FORMAT

File naming convention for uploading: MTR_DDMMYYYYY.TNN

where,

MTR is constant;

DDMMYYYYY is date for which margin trading details are to be furnished;

T is constant;

NN is batch number (Format: 99)

The file shall be a single file with comma separated values containing 7 types of records:

1. Control Record
2. Summary Record
3. Detail Record
4. Collaterals Record
5. Collaterals Scrips' Detail Record
6. Cash Collateral Detail record
7. Declaration

1. Control Record:

Sr	Field	Length	Description
1.	Record Type	Number (2)	Default Value = '10'
2.	File Type	Char (3)	Default Value = 'MTR'
3.	Member Code	Char (5)	Member Code
4.	Batch Date	DDMMYYYY	Date for which margin trading details are to be reported. Should be the same as that in file name
5.	Batch number	Number (2)	The batch number of the file sent Should be the same as that in file name
6.	Total summary records	Number (9)	Total number of summary records
7.	Total detail records	Number (9)	Total number of detail records
8.	Total Value of Funded Shares	Number (15,2)	Total value of funded shares including value of funded quantity for maintenance margin (Format:9999999999999.99) Validation: this should match with total of point number 15 "Gross Funded stock Amount end day including value of funded quantity for maintenance margin" in Detail Record 20
9.	Total Value of cash collateral utilized for pay-in	Number (15,2)	Total Value of cash collateral utilized for pay-in (Format:9999999999999.99) Validation: it should match with point number 5 "Amount Used for Pay in of

			record number 60. It should also match with total value of point number 17 “Funded Stock Amount as maintenance margin end day” of Detail Record 20.
10.	Amount Funded / Exposure (8 – 9)	Number (15,2)	Validation: Total Value of Funded Shares minus Total Value of cash collateral utilized for pay-in. (Format:99999999999999.99) Should be zero or greater than zero. Should match with point 19 “Net Funded Stock Value (Net Exposure value) end day” of Detail Record 20.

2. Summary Record:

Sr	Field	Length	Description
1.	Record Type	Number (2)	Default Value = ‘30’
2.	Lender name	Char (30)	Name of the lender
3.	Lender Category	Number (2)	Lender category 01 - Own / self funds 02 - NBFCs 03 - SCBs (Scheduled commercial bank) 04 - Issuance of Commercial Paper 05 - Unsecured Long-term loans from Promoters and Directors
4.	Amount funded	Number (15,2)	Total amount borrowed/financed including self/own funds (Format: 99999999999999.99) Validation: should match with the Amount Funded / Exposure (point no 10 of the record type 10)

3. Detail Record:

Sr	Field	Length	Description
1	Record Type	Number (2)	Default Value = ‘20’
2	Client Name	Char (240)	Client Name
3	PAN	Char (10)	PAN Number
4	Symbol	Char (10)	Security Symbol
5	Series	Char (2)	Security Series
6	ISIN	Char (12)	ISIN of scrip
7	Gross Funded Stock Quantity begin day including funded	Number (9,3)	Begin Day Qty including funded quantity used for maintenance

	quantity for maintenance margin		margin.
8	Gross Funded stock Amount begin day including value of funded quantity for maintenance margin	Number (15,2)	Begin Day Amount including value of funded quantity used for maintenance margin. (Format: 999999999999.99). Member should report value at cost (not at market value).
9	Funded stock Quantity during day	Number (9,3)	Fresh Qty During Day
10	Funded Amount during day	Number (15,2)	Fresh Amount During Day (Format: 999999999999.99) Member should report value at cost (not at market value)
11	Funded Quantity liquidated/ released during day	Number (9,3)	Qty Liquidated/released During Day
12	Funded Amount liquidated/ released during day	Number (15,2)	Amount Liquidated/released During Day (Format: 999999999999.99) Member should report value at cost (not at market value)
13	Corporate action Quantity	Char (9,3)	Addition in quantity on account of corporate action should be shown in positive value and reduction in quantity on account of corporate action should be shown in negative value
14	Gross Funded stock Quantity end day including funded quantity for maintenance margin	Number (9,3)	End Day Qty including funded quantity used for maintenance margin.
15	Gross Funded stock Amount end day including value of funded quantity for maintenance margin	Number (15,2)	End Day Amount including value of funded quantity used for maintenance margin. (Format: 999999999999.99) Member should report value at cost (not at market value)
16	Funded Stock Quantity as maintenance margin end day	Number (9,3)	Quantity of Funded Stock being used towards maintenance margin if collateral was collected in form of cash from client towards margin for availing margin trading facility i.e. initial margin and such cash collateral was subsequently used for payin of said client and therefore not upstreamed to CC as collateral and margin is now maintained (i.e. maintenance

			margin) in form of funded stock received from CC to the extent of such cash used for payin. (Rounded off to lower side, e.g. qty as 3.10 or 3.90, qty should be reported as 3).
17	Funded Stock Amount as maintenance margin end day	Number (15,2)	Cost of Funded Stock Amount being used towards maintenance margin Validation: Total of this should match with total of point 5 “Amount Used for Pay in” of Record 60.
18	Net Funded Stock Quantity (Exposure Qty) end day	Number (9,3)	Net Quantity of Funded Stock as exposure. (Rounded off to higher side, e.g. qty as 3.10 or 3.90, qty should be reported as 4). Validation: should match with quantity in Column 14 minus quantity in Column 16.
19	Net Funded Stock Value (Net Exposure value) end day	Number (15,2)	Cost of Net Quantity of Funded Stock as exposure. Validation: should match with value of Column 15 minus value of Column 17.
20	Exposure Qty-Margin of which maintained in form of funded stock	Number (9,3)	Quantity from total Net Funded Stock Quantity (column 18) for which maintenance margin is maintained in form of funded stock (column 16). (Rounded off to higher side, e.g. qty as 3.10 or 3.90, qty should be reported as 4)
21	Category of Holding (Promoter/Promoter Group or Non Promoter)	Char (1)	Category in which the client falls w.r.t. the scrip the client is holding (‘Y’ if the client falls under Promoter or Promoter Group and ‘N’ if the client falls under Non Promoter)
22	Stock Exchange	Char (3)	NSE or BSE

4. Collaterals Summary Record:

Sr	Field	Length	Description
1	Record Type	Number (2)	Default Value = ‘40’

2	Collateral-Cash	Number (15,2)	Enter Amount (Format: 999999999999.99) Validation: should match with total of point 4 “Total Amount “of record number 60.
3	Collateral-Cash Equivalent	Number (15,2)	Enter Amount (Format: 999999999999.99) Validation: total of Collateral-Cash Equivalent and Collateral-Scrips should match with total of point number 8 “Amount end day “of record number 50.
4	Collateral-Scrips	Number (15,2)	Enter Amount (Format: 999999999999.99). Validation: total of Collateral-Cash Equivalent and Collateral-Scrips should match with total of point number 8 “Amount end day “of record number 50.

5. Collaterals Scrips & Cash Equivalent’ Detail Record:

Sr	Field	Length	Description
1	Record Type	Number (2)	Default Value = ‘50’
2	Client Name	Char (240)	Client Name
3	PAN	Char (10)	PAN Number
4	Symbol	Char (10)	Security Symbol
5	Series	Char (2)	Security Series
6	ISIN	Char (12)	ISIN of scrip
7	Quantity end day	Number (9,3)	End Day Qty
8	Amount end day	Number (15,2)	End Day Amount (Format: 999999999999.99)
9	Category of Holding (Promoter/Promoter Group or Non Promoter)	Char (1)	Category in which the client falls w.r.t. the scrip the client is holding (‘Y’ if the client falls under Promoter or Promoter Group and ‘N’ if the client falls under Non Promoter)
10	Stock Exchange	Char (3)	NSE or BSE

6. Cash Collateral Detail record

Sr	Field	Length	Description
1	Record Type	Number (2)	Default Value = '60'
2	Client Name	Char (240)	Client Name
3	PAN	Char (10)	PAN Number
4	Total Amount	Number (15,2)	Total amount of Cash collateral in form of margin (Format: 9999999999999.99)
5	Amount Used for Pay in	Number (15,2)	Cash Collateral collected in form of margin and used for pay in (Format: 9999999999999.99)
6	Balance Amount	Number (15,2)	Total Amount minus Amount Used for Pay in (Format: 9999999999999.99) Validation should be zero or greater than zero.

7. Declaration:

I hereby confirm that my exposure towards the margin trading facility has not exceeded the borrowed funds (if any) and 50% of my networth.