

National Stock Exchange Of India Limited**Department :**

Download Ref No: NSE/INSP/42226

Date : September 25, 2019

Circular Ref. No: 29/2019

To All Members,

Sub: Reporting of Demat accounts maintained by Stock Broker

This has reference to the SEBI circular CIR/HO/MIRSD/DOP/CIR/P/2019/75 dated June 20, 2019, SEBI/HO/MIRSD/DOP/CIR/P/2019/95 dated August 29, 2019 and Exchange circular NSE/INSP/41359 dated June 20, 2019 on Handling of Clients' Securities by Trading Members/Clearing Members.

The aforesaid circular provides for opening of new type of Demat A/c's by the Member's before Oct 01, 2019 and reporting of same to the Exchange. In view of the same, Exchange is pleased to inform that the below mentioned 'Sub Types' have been released for reporting of Demat A/c to the Exchange under the provision of Enhanced Supervision (SEBI/HO/MIRSD/MIRSD2/CIR/P/2016/95 dated September 26, 2016).

- 1) Client Unpaid Securities A/c.
- 2) Client Margin Trading Securities.A/c.
- 3) Client Collateral A/c.

Member's are also advised to report to the Exchange about the closure of the existing client securities A/c within 1 week of such closure.

Member can access the reporting platform from the following path.

Member Portal>Inspection>Enhanced Supervision>Bank/ DPA/c Details>TM DP A/c Details.

Members are requested to take note and comply with the same.

For and on behalf of**National Stock Exchange of India Limited****Srijith Menon****Chief Manager-Inspection**

In case of any clarifications, Members may contact our below offices:

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