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NOTICES

Notice No.	20190926-3	Notice Date	26 Sep 2019
Category	Compliance	Segment	General
Subject	Reporting of Demat accounts maintained by Stock Broker		

Content

This has reference to SEBI circular No. CIR/HO/MIRSD/DOP/CIR/P/2019/75 dated June 20, 2019, SEBI/HO/MIRSD/DOP/CIR/P/2019/95 dated August 29, 2019 and Exchange notice no. 20190620-37 dated June 20, 2019 regarding Handling of Clients' Securities by Trading Members/Clearing Members.

Stock Brokers are requested to take note of the contents of the circular and comply.

The aforementioned notice provides for opening of new type of Demat A/c's by the Stock Broker's before Oct 01, 2019 and reporting of same to the Exchange. In view of the same, Exchange is pleased to inform that the below mentioned "Sub Types" have been released for reporting of Demat A/c to the Exchange under the provision of Enhanced Supervision (SEBI/HO/MIRSD/MIRSD2/CIR/P/2016/95 dated September 26, 2016).

- 1) Client Unpaid Securities A/c.
- 2) Client Margin Trading Securities A/c.
- 3) Client Collateral A/c.

Stock Broker's are also advised to report to the Exchange about the closure of the existing client securities A/c within 1 week of such closure.

Stock Brokers can access the reporting platform from the following path.

BEFS Portal>Enhanced Supervision>Demat Account Details.

Stock Brokers are requested to take note and comply with the same.

In case of any clarification required you may connect:

Particulars	Name of officials	Contact No	Email id
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For and on behalf of BSE Ltd.

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