

Annexure I

Format for Trading Members who have obtained approval for offering Margin Trading Facility and have commenced the same

(ON THE LETTERHEAD OF THE CHARTERED ACCOUNTANT) CERTIFICATE

I/We have verified and audited the relevant books, records, and documents of M/s. _____, trading member of National Stock Exchange of India Ltd., for the period from _____ to _____ and on the basis of the relevant information and explanation submitted to me/us and to my/our satisfaction, I/we certify that the trading member had complied with the conditions of margin trading facility as prescribed in circular Ref. No. NSE/COMP/35125 dated June 15, 2017, NSE Circular ref. no. NSE/COMP/35260 dated June 30, 2017, NSE Circular ref. no. NSE/COMP/35521 dated August 03, 2017, NSE Circular ref. no. NSE/COMP/36350 dated November 22, 2017, NSE/COMP/63887 September 12, 2024 and NSE/COMP/64519 October 11, 2024.

Name of Chartered Accountant / Firm
Signature and Seal of Chartered Accountant
Membership Number
UDIN No.:
Place :
Date :

Annexure II

Format for Trading Members who have obtained approval for offering Margin Trading Facility but have not commenced the same

(ON THE LETTERHEAD OF THE TRADING MEMBER)

We, M/s. _____, trading member of National Stock Exchange of India Ltd., have taken approval of the Exchange for offering Margin Trading Facility but have not provided Margin Trading Facility to any client for the period from _____ to _____.

Name of the Trading Member

Signature and Seal of Compliance Officer/Designated Director

Place:

Date: