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NOTICES

Notice No.	20211027-3	Notice Date	27 Oct 2021
Category	Others	Segment	General
Subject	Reporting of clients mapped to Authorised Persons AP		
Attachments	Mapping_AP's_Cleints_Manual.docx ;		
Content			

SEBI vide circular no. MIRSD/DR-1/Cir-16/09 dated November 06, 2009 had introduced the framework for governing the market access through Authorised Persons (AP).

In order to enhance the regulatory oversight over APs, exchanges have agreed to obtain and maintain the details of clients mapped to the APs registered with the respective Trading Members. Hence the Trading Members are requested to upload the details of clients affiliated with their respective APs as per attached users manual. Timelines to upload the details is as follow:

- Upload details of all the clients registered upto October 31, 2021 and mapped to AP latest by November 05, 2021
- Upload details of new clients registered w.e.f. November 01, 2021 on a weekly basis, on or before the next 2 trading days of subsequent week. For example, the clients registered from November 01, 2021 to November 05, 2021, the details shall be uploaded by November 09, 2021 and accordingly for the subsequent weeks.

Provision to upload client details at the time of new AP registration has been provided. Please refer to the User Manual. Members are required to ensure that the details of clients reported should match with the Exchange UCC records.

The module for submitting the client data is being made available from October 28, 2021 onwards in the following link: - <https://ucc.bseindia.com> -> **UCC --> Upload AP Details.**

Members are requested to take a note of the same and ensure compliance.

In case of any queries or clarifications, trading members may kindly get in touch with their respective Relationship Manager or contact BSE Helpdesk on 022-45720400/600 and 022-69158500 / bsehelp@bseindia.com.

For & On Behalf of BSE Ltd,

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